PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/722.855 TRANSMITTAL Filing Date November 25, 2003 **FORM** First Named Inventor Michiel J. van Nieuwstadt Art Unit 3748 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number FGT 306CON (81094461) 11 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): PTO-1449 Request for Refund **Express Abandonment Request** Copy of Foreign Reference X CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John D. Russell, Reg. No. 47,048 olisch Hartwell Individual name

Signature Date March 30, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Signature	Mallerea	Date	March 30, 2004

Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Date: March 30, 2004

MICHIEL J. VAN NIEUWSTADT, DEVESH UPADHYAY and WILLIAM C. RUONA

Serial No.

10/722,855

Group Art Unit: 3748

Filed

: November 25, 2003

For

DIESEL ENGINE SYSTEM FOR USE WITH

EMISSION CONTROL DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Information Disclosure
Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to
disclose to the U.S. Patent and Trademark Office the patents,
publications, applications, and/or other references listed on
the enclosed, completed PTO-1449 form(s). The filing of this
Information Disclosure Statement should not be construed as a
representation that a search has been made or as an admission
that the listed references are prior art for this application.
Applicants respectfully request that the listed references be
expressly considered during prosecution of the application, and
that the references be made of record therein and appear among
the "references cited" on any patents issuing therefrom.

CONTENT OF DISCLOSURE

This Information Disclosure Statement includes (1) 1 page of a PTO-1449 form, and (2) a legible copy of each foreign and non-patent reference, if any, listed on the form. However,

Page 1 - INFORMATION DISCLOSURE STATEMENT Serial No. 10/722,855; FGT 306CON

because this application was filed on or after July 1, 2003, no copies of U.S. patents or published U.S. patent applications are included.

FOREIGN-LANGUAGE REFERENCES

A concise explanation of the relevance of each listed reference not in the English language follows:

Japanese Patent No. 2002364337: This patent purports to disclose to provide an exhaust emission control device capable of improving a flow of exhaust gas in a flow passage switching valve, and drastically reducing pressure loss.

Each communication port 17, 18 opening to both right and left sides of a flow passage switching portion of a main pipe 13 is directed in the axial direction (a right and left direction in Fig. 1) of the main pipe 13, and expanded so as to be larger than the inner diameter d of communication pipe 11, 12 and not more than 1.5 times the inner diameter D of the main pipe 13. Therefore, when exhaust gas 2 returning through a particulate filter turns back in a flow passage switching valve 10, the minimum flow passage cross section restricted between a rearmost portion of the communication port 17 and a valve element 19 of the flow passage switching valve 10, is more expanded than before so that a backward turning flow of the exhaust gas 2 is improved to drastically reduce the pressure loss.

TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office; action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Moreover, in accordance with 37 C.F.R. § 1.97(e)(1), the undersigned states that each item of information contained in this Information Disclosure Statement was first cited in a

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Therefore, in accordance with 37 C.F.R. §§ 1.97(b)(3), (b)(4), or (c)(1), no fee is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

CERTIFICATE OF MAILING

I hereby certify that this is correspondence being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on March 30, 2004.

Realinea

Lauren Barberena

Respectfully submitted,

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FORM PTO-1449				DOCKET NUMBER FGT 306CON				APPLICATION NUMBER 10/722,855				
INFORMATION DISCLOSURE CITATION IN AN APPLICATION				APPLICANT(S) Michiel J. Van Nieuwstadt et al.								
				FILING DATE November 25, 2003				GROUP ART UNIT 3748				
		U.S. PAT	EN'	r docu	JMENTS							
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